

FORM PTQ-1449
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U.S. PATENT AND TRADEMARK OFFICE
INFORMATION DISCLOSURE
STATEMENT BY APPLICANT
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Attorney Docket Number 39477/RRT/S850
Application Number 09/690,796 RECEIVED
Filing Date October 17, 2000 APR 15 2001
Applicant(s) Craig L. Ogg Technology Center 21C
Group Art Unit 2161 3621
Examiner Name ~~To be assigned~~ S. Cangialosi

U.S. PATENT DOCUMENTS

EXAMINER INITIALS	DOCUMENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
TC	4,725,718	02/1988	Sansone et al.	235	495	RECEIVED
TC	4,743,747	05/1988	Fougere et al.	235	494	MAY 4 2001
TC	4,812,994	03/1989	Taylor et al.	364	464.02	Technology Center 21C
TC	4,853,865	08/1989	Sansone et al.	364	464.02	
SC	4,933,849	06/1990	Connell et al.	364	400	RECEIVED 3/27/00
TC	5,142,577	08/1992	Pastor	380	21	
SC	5,341,505	08/1994	Whitehouse	395	800	
TC	5,666,421	09/1997	Pastor et al.	380	51	
TC	5,793,867	08/1998	Cordery et al.	380	4	
TC	5,801,944	09/1998	Kara	364	464.2	
SC	5,825,893	10/1998	Kara	380	51	
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TC	5,987,441	11/1999	Lee et al.	705	401	
TC	5,988,897	11/1999	Pierce et al.	400	61	
SC	6,005,945	12/1999	Whitehouse	380	51	
SC	6,026,385	02/2000	Harvey et al.	705	408	
SC	6,061,671	05/2000	Baker et al.	705	404	
TC	6,064,993	05/2000	Ryan, Jr.	705	403	

EXAMINER SIGNATURE	S. Cangialosi	DATE CONSIDERED	3/05
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INFORMATION DISCLOSURE

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Attorney Docket Number	39477/RRT/S850
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Applicant(s)	Craig L. Ogge
Group Art Unit	2161 362
Examiner Name	To be assigned

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U.S. PATENT DOCUMENTS

EXAMINER INITIALS	DOCUMENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
gkc	4,802,218	01/1989	Wright et al.	380	23	
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gkc	5,918,234	06/1999	Shah et al.	707	204	

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EXAMINER INITIALS	DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
gkc	0 840 258	05/1998	European Patent Office			✓	
gkc	0 927 958	07/1999	European Patent Office			✓	
gkc	0 948 158	10/1999	European Patent Office				X
gkc	0 927 963	07/1999	European Patent Office			✓	
gkc	WO99/18514	04/1999	WIPO			✓	
gkc	WO98/57302	12/1998	WIPO			✓	
gkc	WO94/27258	11/1994	WIPO				

OTHER DOCUMENTS

EXAMINER INITIALS	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		
gkc	United States Postal Service, "Information Based Indicia Program Postal Security Device Specification," XP-002137734, Entire Document (21 Sheets), 06/1996		
gkc	International Search Report, International Application No. PCT/US00/41203, 02/2001		

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Substitute for PTO-1449A/B

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Applicant(s)	Craig L. Ogg
Group Art Unit	2161 3621
Examiner Name	S. Craig, et al. MAR 18 2002 To be assigned

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U.S. PATENT DOCUMENTS

EXAMINER INITIALS	Cite No. ¹	DOCUMENT NUMBER Number - kind code ² . (If known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
SAC		US 4,831,555 A	05-16-1989	Sansone et al.
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SAC		US 4,900,904 A	02-13-1990	Wright et al.
SAC		US 4,908,770 A	03-13-1990	Breault et al.
CAC		US 5,111,030 A	05-05-1992	Brasington et al.
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EXAMINER INITIALS	Cite No. ¹	Foreign Patent Document Country Code ³ - Number ⁴ . Kind Code ⁵ (If known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶ (✓)

OTHER DOCUMENTS

EXAMINER INITIALS	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

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Examiner Name	To be assigned S. Craig Ogg

U.S. PATENT DOCUMENTS

EXAMINER INITIALS	Cite No. ¹	DOCUMENT NUMBER Number - kind code ² . (If known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
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EXAMINER INITIALS	Cite No. ¹	Foreign Patent Document Country Code ³ - Number ⁴ . Kind Code ⁵ (If known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶ (<input checked="" type="checkbox"/>)

OTHER DOCUMENTS

EXAMINER INITIALS	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

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		Filing Date	October 17, 2000
		Applicant(s)	Craig L. Ogg
		Group Art Unit	3621
		Examiner Name	<i>GROL</i> To Be Assigned - S. Craig Ogg

U.S. PATENT DOCUMENTS				
EXAMINER INITIALS	Cite No. ¹	DOCUMENT NUMBER Number - Kind Code ² (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
<i>SLC</i>		5,796,841	08-18-1998	CORDERY, et al.
<i>SLC</i>		6,233,565 B1	05-15-2001	LEWIS, et al.
<i>SLC</i>		6,341,274 B1	01-22-2002	LEON
<i>SLC</i>		6,381,589 B1	04-30-2002	LEON
<i>SLC</i>		6,424,954 B1	07-23-2002	LEON
<i>SLC</i>		2001/0034716 A1	10-25-2001	GOODWIN
<i>SLC</i>		2002/0023057 A1	02-21-2002	GOODWIN, et al.

FOREIGN PATENT DOCUMENTS				
EXAMINER INITIALS	Cite No. ¹	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (If Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document ⁶ (✓)

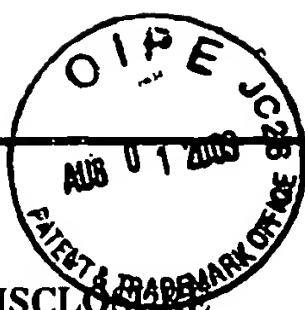
OTHER DOCUMENTS		
EXAMINER INITIALS	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
<i>SLC</i>		PASTOR, Jose; CRYPTOPOST™ - A Cryptographic Application to Mail Processing; Journal of Cryptology; 1991; 137-146pp.; Vol. 3; Number 2; International Association for Cryptologic Research
<i>SLC</i>		The United States Postal Service (USPS) Engineering Center; INFORMATION BASED INDICIA PROGRAM (IBIP) INDICUM SPECIFICATION; June 13, 1996; 22pp.
<i>SLC</i>		The United States Postal Service (USPS); INFORMATION-BASED INDICIA PROGRAM (IBIP): PERFORMANCE CRITERIA FOR INFORMATION-BASED INDICIA AND SECURITY ARCHITECTURE FOR CLOSED IBI POSTAGE METERING SYSTEMS (PCIBI-C); January 12, 1999; 49pp.
<i>SLC</i>		The United States Postal Service (USPS); INFORMATION-BASED INDICIA PROGRAM (IBIP); PERFORMANCE CRITERIA FOR INFORMATION-BASED INDICIA AND SECURITY ARCHITECTURE FOR OPEN IBI POSTAGE EVIDENCING SYSTEMS (PCIBI-O); June 25, 1999; 76pp.

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Filing Date	October 17, 2000
Applicant(s)	Chris L. Ogg
Group Art Unit	2161 3621
Examiner Name	To Be Assigned S. Cangialosi

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OTHER DOCUMENTS

EXAMINER INITIALS	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
SM		TYGAR, J.D. and YEE, Bennet; Cryptography: It's Not Just For Electronic Mail Anymore; School of Computer Science; March 1, 1993; 1-21pp.; Carnegie Mellon University, Pittsburg, PA, USA
SM		TYGAR, J.D. and YEE, Bennet; Dyad: A System for Using Physically Secure Coprocessors; School of Computer Science; May 4, 1991; 1-36pp.; Carnegie Mellon University, Pittsburg, PA, USA
SM		U.S. Application Serial No. 09/585,025 filed June 1, 2000, "Online Value Bearing Item Printing", 125 pp.
SM		U.S. Application Serial No. 09/688,451 filed October 16, 2000, " Auditing Method and System for an On-Line Value-Bearing Item Printing System, 105pp.
SM		U.S. Application Serial No. 09/688,452 filed October 16, 2000, "Role Assignments in a Cryptographic Module for Secure Processing of Value-Bearing Items", 105pp.
SM		U.S. Application Serial No. 09/688,456 filed October 16, 2000, "Cryptographic Module for Secure Processing of Value- Bearing Items", 109pp.
SM		U.S. Application Serial No. 09/690,066 filed October 16, 2000, "Cryptographic Module for Secure Processing of Value-Bearing Items", 121pp.
SM		U.S. Application Serial No. 09/690,083 filed October 16, 2000, "Cryptographic Module for Secure Processing of Value-Bearing Items", 109pp.
SM		U.S. Application Serial No. 09/690,243 filed October 17, 2000, "Method and Apparatus for On-Line Value-Bearing Item System", 66pp.
SM		U.S. Application Serial No. 09/692,746 filed October 18, 2000, "Method and Apparatus for Digitally Signing an Advertisement Area Next to a Value-Bearing Item", 61pp.
SM		U.S. Application Serial No. 09/692,829 filed October 18, 2000, "Postal System Intranet and Commerce Processing for On-Line Value-Bearing System", 179pp.
SM		U.S. Application Serial No. 09/788,069 filed February 16, 2001, "On-Line Value-Bearing Indicium Printing Using DSA", 43pp.
SM		U.S. Application Serial No. 10/083,236 filed February 26, 2002, "Secured Centralized Public Key Infrastructure", 101pp.

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U.S. PATENT DOCUMENTS				
EXAMINER INITIALS	Cite No. ¹	DOCUMENT NUMBER Number - Kind Code ² (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
CO		4,837,702	06-06-1989	OBREA
CO		5,671,146	09-23-1997	WINDEL, et al.
CO		5,917,924	06-29-1999	HERBERT
CO		6,005,945	12-21-1999	WHITEHOUSE
CO		6,415,983 B1	07-09-2002	ULVR, et al.
CO		6,567,794 B1	05-20-2003	CORDERY, et al.

FOREIGN PATENT DOCUMENTS					
EXAMINER INITIALS	Cite No. ¹	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (If Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	✓

OTHER DOCUMENTS		
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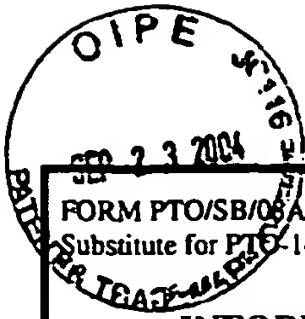
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Sheet 1 of 1



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Applicant(s)	Craig L. Ogg
Group Art Unit	3621
Examiner Name	Salvatore A. Cangialosi

U.S. PATENT DOCUMENTS

EXAMINER INITIALS	CITE NO. ¹	DOCUMENT NUMBER Number - Kind Code ² (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
SLC		4,447,890	05-08-1984	Duwel et al.
GM		4,757,537	07-12-1988	Edelmann et al.
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GR		4,949,381	08-14-1990	Pastor
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CR		5,048,085	09-10-1991	Abraham et al.
SLC		5,058,008	10-15-1991	Schumacher
GRC		5,075,865	12-24-1991	Kawamura et al.
SAC		5,181,245	01-19-1993	Jones
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SLC		5,390,251	02-14-1995	Pastor et al.
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SLC		5,600,562	02-04-1997	Guenther
SAC		5,655,023	08-05-1997	Cordery et al.
SLC		5,659,616	08-19-1997	Sudia
SAC		5,668,897	09-16-1997	Stolfo
SAC		5,684,951	11-04-1997	Goldman et al.
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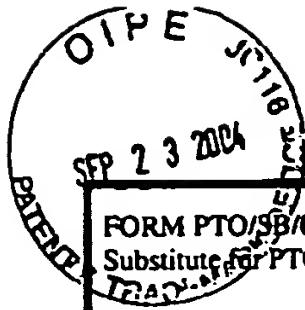
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SC		5,781,438	07-14-1998	Lee et al.
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SC		5,812,990	09-22-1998	Ryan, Jr. et al.
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SC		5,819,240	10-06-1998	Kara
SC		5,822,739	10-13-1998	Kara
SC		5,867,578	02-02-1999	Brickell et al.
SC		5,930,796	07-27-1999	Pierce et al.
SC		5,940,383	08-17-1999	Willkie
SC		5,956,404	09-21-1999	Schneier et al.
SC		5,978,484	11-02-1999	Apperson et al.
SC		5,983,227	11-09-1999	Nazem et al.
SC		6,005,945	12-21-1999	Whitehouse
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SC		6,161,139	12-12-2000	Win et al.
SC		6,164,528	12-26-2000	Hills et al.
SC		6,223,166 B1	04-24-2001	Kay
SC		6,233,565 B1	05-15-2001	Lewis et al.
SC		6,233,568 B1	05-15-2001	Kara
SC		6,249,777 B1	06-19-2001	Kara et al.

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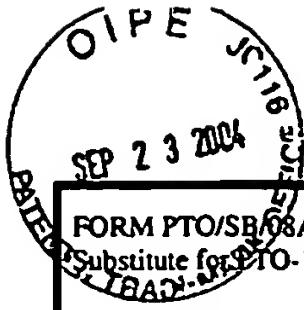
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Attorney Docket Number	39477/SAH/S850
Application Number	09/690,796
Filing Date	October 16, 2000
Applicant(s)	Craig L. Ogg
Group Art Unit	3621
Examiner Name	Salvatore A. Cangialosi

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EXAMINER SIGNATURE	S. Cangialosi	DATE CONSIDERED	3/05
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